



# $(p, q)$ -Equations with Singular and Concave Convex Nonlinearities

Nikolaos S. Papageorgiou<sup>1</sup> · Patrick Winkert<sup>2</sup>

© The Author(s) 2020

## Abstract

We consider a nonlinear Dirichlet problem driven by the  $(p, q)$ -Laplacian with  $1 < q < p$ . The reaction is parametric and exhibits the competing effects of a singular term and of concave and convex nonlinearities. We are looking for positive solutions and prove a bifurcation-type theorem describing in a precise way the set of positive solutions as the parameter varies. Moreover, we show the existence of a minimal positive solution and we study it as a function of the parameter.

**Keywords** Singular and concave-convex terms · Nonlinear regularity theory · Nonlinear maximum principle · Strong comparison theorems · Minimal positive solution

**Mathematics Subject Classification** Primary: 35J20 · Secondary: 35J75 · 35J92

## 1 Introduction

Let  $\Omega \subseteq \mathbb{R}^N$  be a bounded domain with a  $C^2$ -boundary  $\partial\Omega$ . In this paper, we study the following parametric Dirichlet  $(p, q)$ -equation

$$\begin{aligned} -\Delta_p u - \Delta_q u &= \lambda \left[ u^{-\eta} + a(x)u^{\tau-1} \right] + f(x, u) \quad \text{in } \Omega \\ u|_{\partial\Omega} &= 0, \quad u > 0, \quad \lambda > 0, \quad 1 < \tau < q < p, \quad 0 < \eta < 1. \end{aligned} \quad (\text{P}_\lambda)$$

---

✉ Patrick Winkert  
winkert@math.tu-berlin.de  
Nikolaos S. Papageorgiou  
npapg@math.ntua.gr

<sup>1</sup> Department of Mathematics, National Technical University, Zografou Campus, 15780 Athens, Greece

<sup>2</sup> Technische Universität Berlin, Institut für Mathematik, Straße des 17. Juni 136, 10623 Berlin, Germany

For  $r \in (1, \infty)$  we denote by  $\Delta_r$  the  $r$ -Laplace differential operator defined by

$$\Delta_r u = \operatorname{div} \left( |\nabla u|^{r-2} \nabla u \right) \quad \text{for all } u \in W_0^{1,r}(\Omega).$$

The perturbation in problem  $(P_\lambda)$ , namely  $f : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ , is a Carathéodory function, that is,  $f$  is measurable in the first argument and continuous in the second one. We suppose that  $f(x, \cdot)$  is  $(p - 1)$ -superlinear near  $+\infty$  but it does not satisfy the well-known Ambrosetti-Rabinowitz condition which we will write AR-condition for short. Hence, we have in problem  $(P_\lambda)$  the combined effects of singular terms (the function  $s \rightarrow \lambda s^{-\eta}$ ), of sublinear (concave) terms (the function  $s \rightarrow \lambda s^{\tau-1}$  since  $1 < \tau < q < p$ ) and of superlinear (convex) terms (the function  $s \rightarrow f(x, s)$ ). For the precise conditions on  $f$  we refer to hypotheses  $H(f)$  in Sect. 2. Consider the following two functions (for the sake of simplicity we drop the  $x$ -dependence)

$$f_1(s) = (s^+)^{r-1}, \quad p < r < p^*, \quad f_2(s) = \begin{cases} (s^+)^l & \text{if } s \leq 1, \\ s^{p-1} \ln(s) + 1 & \text{if } 1 < s, \end{cases} \quad q < l.$$

Both functions satisfy our hypotheses  $H(f)$  but only  $f_1$  satisfies the AR-condition.

We are looking for positive solutions and we establish the precise dependence of the set of positive solutions of  $(P_\lambda)$  on the parameter  $\lambda > 0$  as the latter varies. For the weight  $a(\cdot)$  we suppose the following assumptions

$H(a)$ :  $a \in L^\infty(\Omega)$ ,  $a(x) \geq a_0 > 0$  for a.a.  $x \in \Omega$ ;

The main result in this paper is the following one.

**Theorem 1.1** *If hypotheses  $H(a)$  and  $H(f)$  hold, then there exists  $\lambda^* \in (0, +\infty)$  such that*

(a) *for all  $\lambda \in (0, \lambda^*)$ , problem  $(P_\lambda)$  has at least two positive solutions*

$$u_0, \hat{u} \in \operatorname{int} \left( C_0^1(\overline{\Omega})_+ \right) \text{ with } u_0 \leq \hat{u} \text{ and } u_0 \neq \hat{u};$$

(b) *for  $\lambda = \lambda^*$ , problem  $(P_\lambda)$  has at least one positive solution  $u^* \in \operatorname{int} \left( C_0^1(\overline{\Omega})_+ \right)$ ;*

(c) *for  $\lambda > \lambda^*$ , problem  $(P_\lambda)$  has no positive solution;*

(d) *for every  $\lambda \in \mathcal{L} = (0, \lambda^*]$ , problem  $(P_\lambda)$  has a smallest positive solution  $u_\lambda^* \in \operatorname{int} \left( C_0^1(\overline{\Omega})_+ \right)$  and the map  $\lambda \rightarrow u_\lambda^*$  from  $\mathcal{L}$  into  $C_0^1(\overline{\Omega})$  is strictly increasing, that is,  $0 < \mu < \lambda \leq \lambda^*$  implies  $u_\lambda^* - u_\mu^* \in \operatorname{int} \left( C_0^1(\overline{\Omega})_+ \right)$  and it is left continuous.*

The study of elliptic problems with combined nonlinearities was initiated with the seminal paper of Ambrosetti–Brezis–Cerami [1] who studied semilinear Dirichlet equations driven by the Laplacian without any singular term. Their work has been extended to nonlinear problems driven by the  $p$ -Laplacian by García Azorero–Peral Alonso–Manfredi [5] and Guo–Zhang [11]. In both works there is no singular term and the reaction has the special form

$$x \rightarrow \lambda s^{\tau-1} + s^{r-1} \quad \text{for all } s \geq 0 \text{ with } 1 < \tau < p < r < p^*,$$

where  $p^*$  is the critical Sobolev exponent to  $p$  given by

$$p^* = \begin{cases} \frac{Np}{N-p} & \text{if } p < N, \\ +\infty & \text{if } N \leq p. \end{cases}$$

More recently there have been generalizations involving more general nonlinear differential operators, more general concave and convex nonlinearities and different boundary conditions. We refer to the works of Papageorgiou–Rădulescu–Repovš [23] for Robin problems and Papageorgiou–Winkert [19], Leonardi–Papageorgiou [14] and Marano–Marino–Papageorgiou [16] for Dirichlet problems. None of these works involves a singular term. Singular equations driven by the  $p$ -Laplacian and with a superlinear perturbation were investigated by Papageorgiou–Winkert [21].

We mention that  $(p, q)$ -equations arise in many mathematical models of physical processes. We refer to Benci–D’Avenia–Fortunato–Pisani [2] for quantum physics and Cherfilis–Il’yasov [3] for reaction diffusion systems.

Finally, we mention recent papers which are very close to our topic dealing with certain types of nonhomogeneous and/or singular problems. We refer to Papageorgiou–Rădulescu–Repovš [26,28], Papageorgiou–Zhang [22] and Ragusa–Tachikawa [30].

## 2 Preliminaries and Hypotheses

We denote by  $L^p(\Omega)$  (or  $L^p(\Omega; \mathbb{R}^N)$ ) and  $W_0^{1,p}(\Omega)$  the usual Lebesgue and Sobolev spaces with their norms  $\|\cdot\|_p$  and  $\|\cdot\|$ , respectively. By means of the Poincaré inequality we have

$$\|u\| = \|\nabla u\|_p \quad \text{for all } u \in W_0^{1,p}(\Omega).$$

For  $s \in \mathbb{R}$ , we set  $s^\pm = \max\{\pm s, 0\}$  and for  $u \in W_0^{1,p}(\Omega)$  we define  $u^\pm(\cdot) = u(\cdot)^\pm$ . It is known that

$$u^\pm \in W_0^{1,p}(\Omega), \quad |u| = u^+ + u^-, \quad u = u^+ - u^-.$$

Furthermore, we need the ordered Banach space

$$C_0^1(\overline{\Omega}) = \left\{ u \in C^1(\overline{\Omega}) : u|_{\partial\Omega} = 0 \right\}$$

and its positive cone

$$C_0^1(\overline{\Omega})_+ = \left\{ u \in C_0^1(\overline{\Omega}) : u(x) \geq 0 \text{ for all } x \in \overline{\Omega} \right\}.$$

This cone has a nonempty interior given by

$$\text{int}(C_0^1(\overline{\Omega})_+) = \left\{ u \in C_0^1(\overline{\Omega})_+ : u(x) > 0 \text{ for all } x \in \Omega, \frac{\partial u}{\partial n}(x) < 0 \text{ for all } x \in \partial\Omega \right\},$$

where  $n(\cdot)$  stands for the outward unit normal on  $\partial\Omega$ . We will also use two more open cones. The first one is an open cone in the space  $C^1(\overline{\Omega})$  and is defined by

$$D_+ = \left\{ u \in C^1(\overline{\Omega})_+ : u(x) > 0 \text{ for all } x \in \Omega, \frac{\partial u}{\partial n} \Big|_{\partial\Omega \cap u^{-1}(0)} < 0 \right\}.$$

The second open cone is the interior of the order cone

$$K_+ = \{ u \in C_0(\overline{\Omega}) : u(x) \geq 0 \text{ for all } x \in \overline{\Omega} \}$$

of the Banach space

$$C_0(\overline{\Omega}) = \{ u \in C(\overline{\Omega}) : u|_{\partial\Omega} = 0 \}.$$

We know that

$$\text{int } K_+ = \left\{ u \in K_+ : c_u \hat{d} \leq u \text{ for some } c_u > 0 \right\}$$

with  $\hat{d}(\cdot) = d(\cdot, \partial\Omega)$ . Let  $\hat{u}_1$  denote the positive  $L^p$ -normalized, that is,  $\|\hat{u}_1\|_p = 1$ , eigenfunction of  $(-\Delta_p, W_0^{1,p}(\Omega))$ . We know that  $\hat{u}_1 \in \text{int}(C_0^1(\overline{\Omega})_+)$ . From Papageorgiou–Rădulescu–Repovš [25] we have

$$c_u \hat{d} \leq u \text{ for some } c_u > 0 \quad \text{if and only if} \quad \hat{c}_u \hat{u}_1 \leq u \text{ for some } \hat{c}_u > 0.$$

Given  $u, v \in W_0^{1,p}(\Omega)$  with  $u(x) \leq v(x)$  for a.a.  $x \in \Omega$  we define

$$\begin{aligned} [u, v] &= \left\{ y \in W_0^{1,p}(\Omega) : u(x) \leq y(x) \leq v(x) \text{ for a.a. } x \in \Omega \right\}, \\ \text{int}_{C_0^1(\overline{\Omega})} [u, v] &= \text{the interior in } C_0^1(\overline{\Omega}) \text{ of } [u, v] \cap C_0^1(\overline{\Omega}), \\ [u] &= \left\{ y \in W_0^{1,p}(\Omega) : u(x) \leq y(x) \text{ for a.a. } x \in \Omega \right\}. \end{aligned}$$

If  $h, g \in L^\infty(\Omega)$ , then we write  $h < g$  if and only if for every compact set  $K \subseteq \Omega$ , there exists  $c_K > 0$  such that  $c_K \leq g(x) - h(x)$  for a.a.  $x \in K$ . Note that if  $h, g \in C(\Omega)$  and  $h(x) < g(x)$  for all  $x \in \Omega$ , then  $h < g$ .

If  $X$  is a Banach space and  $\varphi \in C^1(X)$ , then we denote by  $K_\varphi$  the critical set of  $\varphi$ , that is,

$$K_\varphi = \{ u \in X : \varphi'(u) = 0 \}.$$

Moreover, we say that  $\varphi$  satisfies the ‘‘Cerami condition’’, C-condition for short, if every sequence  $\{u_n\}_{n \geq 1} \subseteq X$  such that  $\{\varphi(u_n)\}_{n \geq 1} \subseteq \mathbb{R}$  is bounded and

$$(1 + \|u_n\|_X) \varphi'(u_n) \rightarrow 0 \quad \text{in } X^* \text{ as } n \rightarrow \infty,$$

admits a strongly convergent subsequence.

For every  $r \in (1, \infty)$ , let  $A_r : W_0^{1,r}(\Omega) \rightarrow W^{-1,r'}(\Omega) = W_0^{1,r}(\Omega)^*$  with  $\frac{1}{r} + \frac{1}{r'} = 1$  be defined by

$$\langle A_r(u), h \rangle = \int_{\Omega} |\nabla u|^{r-2} \nabla u \cdot \nabla h \, dx \quad \text{for all } u, h \in W_0^{1,r}(\Omega).$$

This operator has the following properties, see Gasiński–Papageorgiou [8, p. 279].

**Proposition 2.1** *The map  $A_r : W_0^{1,r}(\Omega) \rightarrow W^{-1,r'}(\Omega)$  is bounded (that is, it maps bounded sets into bounded sets), continuous, strictly monotone (so maximal monotone) and of type  $(S)_+$ , that is,*

$$u_n \xrightarrow{w} u \text{ in } W_0^{1,r}(\Omega) \quad \text{and} \quad \limsup_{n \rightarrow \infty} \langle A_r(u_n), u_n - u \rangle \leq 0$$

imply

$$u_n \rightarrow u \text{ in } \overline{W_0^{1,r}(\Omega)}.$$

The hypotheses on the function  $f(\cdot)$  are the following ones:

H(f):  $f : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  is a Carathéodory function such that

(i)

$$0 \leq f(x, s) \leq c_1 [1 + s^{r-1}]$$

for a. a.  $x \in \Omega$ , for all  $s \geq 0$  with  $c_1 > 0$  and  $r \in (p, p^*)$ ;

(ii) if  $F(x, s) = \int_0^s f(x, t) \, dt$ , then

$$\lim_{s \rightarrow +\infty} \frac{F(x, s)}{s^p} = +\infty \quad \text{uniformly for a.a. } x \in \Omega;$$

(iii) there exists  $\mu \in \left( (r - p) \max \left\{ 1, \frac{N}{p} \right\}, p^* \right)$  with  $\mu > \tau$  such that

$$0 < c_2 \leq \liminf_{s \rightarrow +\infty} \frac{f(x, s)s - pF(x, s)}{s^\mu} \quad \text{uniformly for a.a. } x \in \Omega;$$

(iv)

$$\lim_{s \rightarrow 0^+} \frac{f(x, s)}{s^{q-1}} = 0 \quad \text{uniformly for a.a. } x \in \Omega;$$

(v) for every  $\rho > 0$  there exists  $\hat{\xi}_\rho > 0$  such that the function

$$s \mapsto f(x, s) + \hat{\xi}_\rho s^{p-1}$$

is nondecreasing on  $[0, \rho]$  for a.a.  $x \in \Omega$ .

**Remark 2.2** Since our aim is to produce positive solutions and all the hypotheses above concern the positive semiaxis  $\mathbb{R}_+ = [0, +\infty)$ , we may assume, without any loss of generality, that

$$f(x, s) = 0 \quad \text{for a.a. } x \in \Omega \text{ and for all } s \leq 0. \tag{2.1}$$

Note that hypothesis  $H(f)(iv)$  implies that  $f(x, 0) = 0$  for a.a.  $x \in \Omega$ . From hypotheses  $H(f)(ii)$ , (iii) we infer that

$$\lim_{s \rightarrow +\infty} \frac{f(x, s)}{s^{p-1}} = +\infty \quad \text{uniformly for a.a. } x \in \Omega.$$

Therefore, the perturbation  $f(x, \cdot)$  is  $(p - 1)$ -superlinear for a.a.  $x \in \Omega$ . However, the superlinearity of  $f(x, \cdot)$  is not expressed using the AR-condition which is common in the literature for superlinear problems. We recall that the AR-condition says that there exist  $\beta > p$  and  $M > 0$  such that

$$0 < \beta F(x, s) \leq f(x, s)s \quad \text{for a.a. } x \in \Omega \text{ and for all } s \geq M, \tag{2.2}$$

$$0 < \text{ess inf}_{x \in \Omega} F(x, M). \tag{2.3}$$

In fact this is a uniliteral version of the AR-condition due to (2.1). Integrating (2.2) and using (2.3) gives the weaker condition

$$c_3 s^\beta \leq F(x, s) \quad \text{for a.a. } x \in \Omega, \text{ for all } x \geq M \text{ and for some } c_3 > 0,$$

which implies

$$c_3 s^{\beta-1} \leq f(x, s) \quad \text{for a.a. } x \in \Omega \text{ and for all } s \geq M.$$

Hence, the AR-condition dictates that  $f(x, \cdot)$  eventually has at least  $(\beta - 1)$ -polynomial growth. In the present work we replace the AR-condition by hypothesis  $H(f)(iii)$  which includes in our framework also superlinear nonlinearities with slower growth near  $+\infty$ .

Hypothesis  $H(f)(v)$  is a one-sided Hölder condition. If  $f(x, \cdot)$  is differentiable for a.a.  $x \in \Omega$  and if for every  $\rho > 0$  there exists  $c_\rho > 0$  such that

$$f'_s(x, s)s \geq -c_\rho s^{p-1} \quad \text{for a.a. } x \in \Omega \text{ and for all } 0 \leq s \leq \rho,$$

then hypothesis  $H(f)(v)$  is satisfied. We introduce the following sets

$$\begin{aligned} \mathcal{L} &= \{\lambda > 0 : \text{problem } (P_\lambda) \text{ admits a positive solution}\}, \\ \mathcal{S}_\lambda &= \{u : u \text{ is a positive solution of } (P_\lambda)\}. \end{aligned}$$

Moreover, we consider the following auxiliary Dirichlet problem

$$\begin{aligned}
 -\Delta_p u - \Delta_q u &= \lambda a(x) u^{\tau-1} \quad \text{in } \Omega \\
 u|_{\partial\Omega} &= 0, \quad u > 0, \quad \lambda > 0, \quad 1 < \tau < q < p.
 \end{aligned}
 \tag{Q_\lambda}$$

**Proposition 2.3** *If hypothesis  $H(a)$  holds, then for every  $\lambda > 0$  problem  $(Q_\lambda)$  admits a unique solution  $\tilde{u}_\lambda \in \text{int}(C_0^1(\overline{\Omega})_+)$ .*

**Proof** We consider the  $C^1$ -functional  $\gamma_\lambda : W_0^{1,p}(\Omega) \rightarrow \mathbb{R}$  defined by

$$\gamma_\lambda(u) = \frac{1}{p} \|\nabla u\|_p^p + \frac{1}{q} \|\nabla u\|_q^q - \lambda \int_\Omega a(x) (u^+)^{\tau} dx \quad \text{for all } u \in W_0^{1,p}(\Omega).$$

Since  $\tau < q < p$  it is clear that  $\gamma_\lambda : W_0^{1,p}(\Omega) \rightarrow \mathbb{R}$  is coercive and by the Sobolev embedding theorem, we see that  $\gamma_\lambda : W_0^{1,p}(\Omega) \rightarrow \mathbb{R}$  is sequentially weakly lower semicontinuous. Hence, there exists  $\tilde{u}_\lambda \in W_0^{1,p}(\Omega)$  such that

$$\gamma_\lambda(\tilde{u}_\lambda) = \min \left[ \gamma_\lambda(u) : u \in W_0^{1,p}(\Omega) \right].
 \tag{2.4}$$

If  $u \in \text{int}(C_0^1(\overline{\Omega})_+)$  and  $t > 0$  then

$$\gamma_\lambda(tu) = \frac{t^p}{p} \|\nabla u\|_p^p + \frac{t^q}{q} \|\nabla u\|_q^q - \frac{\lambda t^\tau}{\tau} \int_\Omega a(x) u^2 dx.$$

Since  $\tau < q < p$ , choosing  $t \in (0, 1)$  small enough, we have  $\gamma_\lambda(tu) < 0$  and so,

$$\gamma_\lambda(\tilde{u}_\lambda) < 0 = \gamma_\lambda(0),$$

see (2.4), which shows that  $\tilde{u}_\lambda \neq 0$ . From (2.4) we know that  $\gamma'_\lambda(\tilde{u}_\lambda) = 0$ , that is,

$$\langle A_p(\tilde{u}_\lambda), h \rangle + \langle A_q(\tilde{u}_\lambda), h \rangle = \lambda \int_\Omega a(x) (\tilde{u}_\lambda^+)^{\tau-1} h dx \quad \text{for all } h \in W_0^{1,p}(\Omega).
 \tag{2.5}$$

Choosing  $h = -\tilde{u}_\lambda^- \in W_0^{1,p}(\Omega)$  in (2.5) gives

$$\|\nabla \tilde{u}_\lambda^-\|_p^p + \|\nabla \tilde{u}_\lambda^-\|_q^q = 0,$$

which shows that  $\tilde{u}_\lambda \geq 0$  with  $\tilde{u}_\lambda \neq 0$ . Therefore, (2.5) becomes

$$-\Delta_p \tilde{u}_\lambda - \Delta_q \tilde{u}_\lambda = \lambda a(x) \tilde{u}_\lambda^{\tau-1} \quad \text{in } \Omega, \quad \tilde{u}_\lambda|_{\partial\Omega} = 0.$$

We know that  $\tilde{u}_\lambda \in L^\infty(\Omega)$ , see, for example Marino–Winkert [17]. Then, from the nonlinear regularity theory of Lieberman [15] we have that  $\tilde{u}_\lambda \in C_0^1(\overline{\Omega})_+ \setminus \{0\}$ . Moreover, the nonlinear maximum principle of Pucci–Serrin [29, pp. 111, 120] implies that  $\tilde{u}_\lambda \in \text{int}(C_0^1(\overline{\Omega})_+)$ .

We still have to show that this positive solution is unique. Suppose that  $\tilde{v}_\lambda \in W_0^{1,p}(\Omega)$  is another solution of  $(Q_\lambda)$ . As before we can show that  $\tilde{v}_\lambda \in \text{int}(C_0^1(\overline{\Omega})_+)$ . We consider the integral functional  $j : L^1(\Omega) \rightarrow \overline{\mathbb{R}} = \mathbb{R} \cup \{+\infty\}$  defined by

$$j(u) = \begin{cases} \frac{1}{p} \|\nabla u^{\frac{1}{q}}\|_p^p + \frac{1}{q} \|\nabla u^{\frac{1}{q}}\|_q^q & \text{if } u \geq 0, u^{\frac{1}{q}} \in W_0^{1,p}(\Omega), \\ +\infty & \text{otherwise.} \end{cases}$$

From Díaz–Saá [4, Lemma 1] we see that  $j$  is convex. Furthermore, applying Proposition 4.1.22 of Papageorgiou–Rădulescu–Repovš [24, p. 274], we obtain that

$$\frac{\tilde{u}_\lambda}{\tilde{v}_\lambda}, \frac{\tilde{v}_\lambda}{\tilde{u}_\lambda} \in L^\infty(\Omega).$$

We denote by

$$\text{dom } j = \left\{ u \in L^1(\Omega) : j(u) < +\infty \right\}$$

the effective domain of  $j$  and set  $h = \tilde{u}_\lambda^q - \tilde{v}_\lambda^q$ . One gets

$$\tilde{u}_\lambda^q - th \in \text{dom } j \quad \text{and} \quad \tilde{v}_\lambda^q + th \in \text{dom } j \quad \text{for all } t \in [0, 1].$$

Note that the functional  $j : L^1(\Omega) \rightarrow \overline{\mathbb{R}}$  is Gateaux differentiable at  $\tilde{u}_\lambda^q$  and at  $\tilde{v}_\lambda^q$  in the direction  $h$ . Using the nonlinear Green’s identity, see Papageorgiou–Rădulescu–Repovš [24, Corollary 1.5.16, p. 34], we obtain

$$\begin{aligned} j'(\tilde{u}_\lambda^q)(h) &= \frac{1}{q} \int_\Omega \frac{-\Delta_p \tilde{u}_\lambda - \Delta_q \tilde{u}_\lambda}{\tilde{u}_\lambda^{q-1}} h \, dx = \frac{\lambda}{q} \int_\Omega \frac{a(x)}{\tilde{u}_\lambda^{q-\tau}} h \, dx, \\ j'(\tilde{v}_\lambda^q)(h) &= \frac{1}{q} \int_\Omega \frac{-\Delta_p \tilde{v}_\lambda - \Delta_q \tilde{v}_\lambda}{\tilde{v}_\lambda^{q-1}} h \, dx = \frac{\lambda}{q} \int_\Omega \frac{a(x)}{\tilde{v}_\lambda^{q-\tau}} h \, dx. \end{aligned}$$

The convexity of  $j : L^1(\Omega) \rightarrow \overline{\mathbb{R}}$  implies the monotonicity of  $j'$ . Hence

$$0 \leq \frac{\lambda}{q} \int_\Omega a(x) \left[ \frac{1}{\tilde{u}_\lambda^{q-\tau}} - \frac{1}{\tilde{v}_\lambda^{q-\tau}} \right] [\tilde{u}_\lambda^q - \tilde{v}_\lambda^q] \, dx \leq 0,$$

which implies  $\tilde{u}_\lambda = \tilde{v}_\lambda$ . Therefore,  $\tilde{u}_\lambda \in \text{int}(C_0^1(\overline{\Omega})_+)$  is the unique positive solution of the auxiliary problem  $(Q_\lambda)$ . □

This solution will provide a useful lower bound for the elements of the set of positive solutions  $\mathcal{S}_\lambda$ .



### 3 Positive Solutions

Let  $\tilde{u}_\lambda \in \text{int}(C_0^1(\overline{\Omega})_+)$  be the unique positive solution of  $(Q_\lambda)$ , see Proposition 2.3. Let  $s > N$ . Then  $\tilde{u}_\lambda^s \in \text{int} K_+$  and so there exists  $c_4 > 0$  such that

$$\hat{u}_1 \leq c_4 \tilde{u}_\lambda^s,$$

see Sect. 2. Hence

$$\tilde{u}_\lambda^{-\eta} \leq c_5 \hat{u}_1^{-\frac{\eta}{s}} \quad \text{for some } c_5 > 0.$$

Applying the Lemma of Lazer–McKenna [13] we have

$$\hat{u}_1^{-\frac{\eta}{s}} \in L^s(\Omega)$$

and thus

$$\tilde{u}_\lambda^{-\eta} \in L^s(\Omega). \tag{3.1}$$

We introduce the following modification of problem  $(P_\lambda)$  in which we have neutralized the singular term

$$\begin{aligned} -\Delta_p u - \Delta_q u &= \lambda \tilde{u}_\lambda^{-\eta} + \lambda a(x) u^{\tau-1} + f(x, u) \quad \text{in } \Omega \\ u|_{\partial\Omega} &= 0, \quad u > 0, \quad \lambda > 0, \quad 1 < \tau < q < p, \quad 0 < \eta < 1. \end{aligned} \tag{P'_\lambda}$$

Let  $\psi_\lambda : W_0^{1,p}(\Omega) \rightarrow \mathbb{R}$  be the Euler energy functional of problem  $(P'_\lambda)$  defined by

$$\begin{aligned} \psi_\lambda(u) &= \frac{1}{p} \|\nabla u\|_p^p + \frac{1}{q} \|\nabla u\|_q^q - \lambda \int_\Omega \tilde{u}_\lambda^{-\eta} u \, dx \\ &\quad - \frac{\lambda}{\tau} \int_\Omega a(x) (u^+)^{\tau} \, dx - \int_\Omega F(x, u^+) \, dx \end{aligned}$$

for all  $u \in W_0^{1,p}(\Omega)$ , see (3.1). It is clear that  $\psi_\lambda \in C^1(W_0^{1,p}(\Omega))$ .

**Proposition 3.1** *If hypotheses  $H(a)$  and  $H(f)$  hold and if  $\lambda > 0$ , then  $\psi_\lambda$  satisfies the C-condition.*

**Proof** Let  $\{u_n\}_{n \geq 1} \subseteq W_0^{1,p}(\Omega)$  be a sequence such that

$$|\psi_\lambda(u_n)| \leq c_6 \quad \text{for all } n \in \mathbb{N} \text{ and for some } c_6 > 0, \tag{3.2}$$

$$(1 + \|u_n\|) \psi'_\lambda(u_n) \rightarrow 0 \quad \text{in } W_0^{1,p}(\Omega)^* = W^{-1,p'}(\Omega) \text{ with } \frac{1}{p} + \frac{1}{p'} = 1. \tag{3.3}$$

From (3.3) we have

$$\left| \langle A_p(u_n), h \rangle + \langle A_q(u_n), h \rangle - \lambda \int_{\Omega} \tilde{u}_{\lambda}^{-\eta} h \, dx - \lambda \int_{\Omega} a(x) (u_n^+)^{\tau-1} h \, dx - \int_{\Omega} f(x, u_n^+) h \, dx \right| \leq \frac{\varepsilon_n \|h\|}{1 + \|u_n\|} \quad \text{for all } h \in W_0^{1,p}(\Omega) \text{ with } \varepsilon_n \rightarrow 0^+. \quad (3.4)$$

Choosing  $h = -u_n^- \in W_0^{1,p}(\Omega)$  in (3.4) leads to

$$\|\nabla u_n^-\|_p^p \leq \varepsilon_n \quad \text{for all } n \in \mathbb{N},$$

which implies

$$u_n^- \rightarrow 0 \quad \text{in } W_0^{1,p}(\Omega) \text{ as } n \rightarrow \infty. \quad (3.5)$$

Combining (3.2) and (3.5) gives

$$\begin{aligned} & \|\nabla u_n^+\|_p^p + \frac{p}{q} \|\nabla u_n^+\|_q^q - \lambda p \int_{\Omega} \tilde{u}_{\lambda}^{-\eta} u_n^+ \, dx - \frac{\lambda p}{\tau} \int_{\Omega} a(x) (u_n^+)^{\tau} \, dx \\ & - \int_{\Omega} pF(x, u_n^+) \, dx \leq c_7 \quad \text{for all } n \in \mathbb{N} \text{ and for some } c_7 > 0. \end{aligned} \quad (3.6)$$

On the other hand, if we choose  $h = u_n^+ \in W_0^{1,p}(\Omega)$  in (3.4), we obtain

$$\begin{aligned} & -\|\nabla u_n^+\|_p^p - \|\nabla u_n^+\|_q^q + \lambda \int_{\Omega} \tilde{u}_{\lambda}^{-\eta} u_n^+ \, dx + \lambda \int_{\Omega} a(x) (u_n^+)^{\tau} \, dx \\ & + \int_{\Omega} f(x, u_n^+) u_n^+ \, dx \leq \varepsilon_n \quad \text{for all } n \in \mathbb{N}. \end{aligned} \quad (3.7)$$

Adding (3.6) and (3.7) yields

$$\begin{aligned} & \int_{\Omega} [f(x, u_n^+) u_n^+ - pF(x, u_n^+)] \, dx \\ & \leq \lambda(p-1) \int_{\Omega} \tilde{u}_{\lambda}^{-\eta} u_n^+ \, dx + \lambda \left[ \frac{p}{\tau} - 1 \right] \int_{\Omega} a(x) (u_n^+)^{\tau} \, dx. \end{aligned} \quad (3.8)$$

By hypotheses H(f)(i), (iii) we can find  $c_8 > 0$  such that

$$\frac{c_2}{2} s^{\mu} - c_8 \leq f(x, s) s - pF(x, s) \quad \text{for a.a. } x \in \Omega \text{ and for all } s \geq 0.$$

This implies

$$\frac{c_2}{2} s^{\mu} \|u_n^+\|_{\mu}^{\mu} - c_9 \leq \int_{\Omega} [f(x, u_n^+) u_n^+ - pF(x, u_n^+)] \, dx \quad (3.9)$$

for some  $c_9 > 0$  and for all  $n \in \mathbb{N}$ .

Since  $s > N$  we have  $s' < N' \leq p^*$ . Hence,  $u_n^+ \in L^{s'}(\Omega)$ . Then, taking (3.1) along with Hölder's inequality into account, we get

$$\lambda[p - 1] \int_{\Omega} \tilde{u}_{\lambda}^{-\eta} u_n^+ dx \leq c_{10} \|\tilde{u}_{\lambda}^{-\eta}\|_s \|u_n^+\|_{s'} \tag{3.10}$$

for some  $c_{10} = c_{10}(\lambda) > 0$  and for all  $n \in \mathbb{N}$ . Moreover, by hypothesis H(a), we have

$$\lambda \left[ \frac{p}{\tau} - 1 \right] \int_{\Omega} a(x) (u_n^+)^{\tau} dx \leq c_{11} \|u_n^+\|_{\tau}^{\tau} \tag{3.11}$$

for some  $c_{11} = c_{11}(\lambda) > 0$  and for all  $n \in \mathbb{N}$ .

Now we choose  $s > N$  large enough such that  $s' < \mu$ . Returning to (3.8), using (3.9), (3.10) as well as (3.11) and using the fact that  $s', \tau < \mu$  by hypothesis H(f)(iii) leads to

$$\|u_n^+\|_{\mu}^{\mu} \leq c_{12} \left[ \|u_n^+\|_{\mu} + \|u_n^+\|_{\mu}^{\tau} + 1 \right]$$

for some  $c_{12} > 0$  and for all  $n \in \mathbb{N}$ . Since  $\tau < \mu$  we obtain

$$\{u_n^+\}_{n \geq 1} \subseteq L^{\mu}(\Omega) \text{ is bounded.} \tag{3.12}$$

Assume that  $N \neq p$ . From hypothesis H(f)(iii) it is clear that we may assume  $\mu < r < p^*$ . Then there exists  $t \in (0, 1)$  such that

$$\frac{1}{r} = \frac{1-t}{\mu} + \frac{t}{p^*}.$$

Taking the interpolation inequality into account, see Papageorgiou–Winkert [20, Proposition 2.3.17, p. 116], we have

$$\|u_n^+\|_r \leq \|u_n^+\|_{\mu}^{1-t} \|u_n^+\|_{p^*}^t,$$

which by (3.12) implies that

$$\|u_n^+\|_r^r \leq c_{13} \|u_n^+\|^{tr} \tag{3.13}$$

for some  $c_{13} > 0$  and for all  $n \in \mathbb{N}$ .

From hypothesis H(f)(i) we know that

$$f(x, s)s \leq c_{14} [1 + s^r] \tag{3.14}$$

for a.a.  $x \in \Omega$ , for all  $s \geq 0$  and for some  $c_{14} > 0$ . We choose  $h = u_n^+ \in W_0^{1,p}(\Omega)$  in (3.4), that is,

$$\begin{aligned} & \|\nabla u_n^+\|_p^p + \|\nabla u_n^+\|_q^q - \lambda \int_{\Omega} \tilde{u}_{\lambda}^{-\eta} u_n^+ dx - \lambda \int_{\Omega} a(x) (u_n^+)^{\tau} dx \\ & - \int_{\Omega} f(x, u_n^+) u_n^+ dx \leq \varepsilon_n \quad \text{for all } n \in \mathbb{N}. \end{aligned}$$

From this it follows by using (3.13), (3.14) and  $1 < \tau < p < r$

$$\|u_n^+\|^p \leq c_{15} \left[ 1 + \|u_n^+\|^{tr} \right] \tag{3.15}$$

for some  $c_{15} > 0$  and for all  $n \in \mathbb{N}$ . The condition on  $\mu$ , see hypothesis H(f)(iii), implies that  $tr < p$ . Then from (3.15) we infer

$$\{u_n^+\}_{n \geq 1} \subseteq W_0^{1,p}(\Omega) \text{ is bounded.} \tag{3.16}$$

If  $N = p$ , then we have by definition  $p^* = \infty$ . The Sobolev embedding theorem ensures that  $W_0^{1,p}(\Omega) \hookrightarrow L^{\vartheta}(\Omega)$  for all  $1 \leq \vartheta < \infty$ . So, in order to apply the previous arguments we need to replace  $p^*$  by  $\vartheta > r > \mu$  and choose  $t \in (0, 1)$  such that

$$\frac{1}{r} = \frac{1-t}{\mu} + \frac{t}{\vartheta},$$

which implies

$$tr = \frac{\vartheta(r - \mu)}{\vartheta - \mu}.$$

Note that  $\frac{\vartheta(r-\mu)}{\vartheta-\mu} \rightarrow r - \mu < p$  as  $\vartheta \rightarrow +\infty$ . So, for  $\vartheta > r$  large enough, we see that  $tr < p$  and again (3.16) holds.

From (3.5) and (3.16) we infer that

$$\{u_n\}_{n \geq 1} \subseteq W_0^{1,p}(\Omega) \text{ is bounded.}$$

So, we may assume that

$$u_n \xrightarrow{w} u \text{ in } W_0^{1,p}(\Omega) \text{ and } u_n \rightarrow u \text{ in } L^r(\Omega). \tag{3.17}$$

We choose  $h = u_n - u \in W_0^{1,p}(\Omega)$  in (3.4), pass to the limit as  $n \rightarrow \infty$  and use the convergence properties in (3.17). This gives

$$\lim_{n \rightarrow \infty} [\langle A_p(u_n), u_n - u \rangle + \langle A_q(u_n), u_n - u \rangle] = 0$$

and since  $A_q$  is monotone we obtain

$$\lim_{n \rightarrow \infty} [\langle A_p(u_n), u_n - u \rangle + \langle A_q(u), u_n - u \rangle] \leq 0.$$

By (3.16) we then conclude that

$$\lim_{n \rightarrow \infty} \langle A_p(u_n), u_n - u \rangle \leq 0.$$

Applying Proposition 2.1 shows that  $u_n \rightarrow u$  in  $W_0^{1,p}(\Omega)$  and so we conclude that  $\psi_\lambda$  satisfies the C-condition.  $\square$

**Proposition 3.2** *If hypotheses  $H(a)$  and  $H(f)$  hold, then there exists  $\hat{\lambda} > 0$  such that for every  $\lambda \in (0, \hat{\lambda})$  we can find  $\rho_\lambda > 0$  for which we have*

$$\psi_\lambda(0) = 0 < \inf [\psi_\lambda(u) : \|u\| = \rho_\lambda] = m_\lambda.$$

**Proof** Hypotheses  $H(f)$ (i), (iv) imply that for a given  $\varepsilon > 0$  we can find  $c_{16} = c_{16}(\varepsilon) > 0$  such that

$$F(x, s) \leq \frac{\varepsilon}{q} s^q + c_{16} s^r \quad \text{for a.a. } x \in \Omega \text{ and for all } s \geq 0. \tag{3.18}$$

Recall that  $\tilde{u}_\lambda^{-\eta} \in L^s(\Omega)$  with  $s > N$ , see (3.1). We choose  $s > N$  large enough such that  $s' < p^*$ . Then, by Hölder’s inequality, we have

$$\lambda \int_\Omega \tilde{u}_\lambda^{-\eta} u \, dx \leq \lambda c_{17} \|u\| \quad \text{for some } c_{17} > 0. \tag{3.19}$$

Moreover, one gets

$$\frac{\lambda}{\tau} \int_\Omega a(x) |u|^\tau \, dx \leq \frac{\lambda \|a\|_\infty}{\tau} \|u\|^\tau. \tag{3.20}$$

Applying (3.18), (3.19) and (3.20) leads to

$$\psi_\lambda(u) \geq \frac{1}{p} \|\nabla u\|_p^p + \frac{1}{q} [\|\nabla u\|_q^q - \varepsilon \|u\|_q^q] - c_{18} [\|u\|^r + \lambda (\|u\| + \|u\|^\tau)] \tag{3.21}$$

for some  $c_{18} > 0$ . Let  $\hat{\lambda}_1(q) > 0$  be the principal eigenvalue of  $(-\Delta_q, W_0^{1,q}(\Omega))$ . Then, from the variational characterization of  $\hat{\lambda}_1(q)$ , see Gasiński–Papageorgiou [6, p. 732], we obtain

$$\frac{1}{q} [\|\nabla u\|_q^q - \varepsilon \|u\|_q^q] \geq \frac{1}{q} \left[ 1 - \frac{\varepsilon}{\hat{\lambda}_1(q)} \right] \|\nabla u\|_q^q.$$

Choosing  $\varepsilon \in (0, \hat{\lambda}_1(q))$  we infer that

$$\frac{1}{q} [\|\nabla u\|_q^q - \varepsilon \|u\|_q^q] > 0. \tag{3.22}$$

Since  $1 < \tau < r$ , it holds

$$\|u\|^\tau \leq \|u\| + \|u\|^r. \tag{3.23}$$

Applying (3.22) and (3.23) to (3.21) gives

$$\begin{aligned} \psi_\lambda(u) &\geq \frac{1}{p} \|u\|^p - c_{18} [2\lambda \|u\| + (\lambda + 1) \|u\|^r] \\ &\geq \left[ \frac{1}{p} - c_{18} (2\lambda \|u\|^{1-p} + (\lambda + 1) \|u\|^{r-p}) \right] \|u\|^p. \end{aligned} \tag{3.24}$$

We consider now the function

$$k_\lambda(t) = 2\lambda t^{1-p} + (\lambda + 1)t^{r-p} \quad \text{for all } t > 0.$$

It is clear that  $k_\lambda \in C^1(0, \infty)$  and since  $1 < p < r$  we see that

$$k_\lambda(t) \rightarrow +\infty \quad \text{as } t \rightarrow 0^+ \text{ and as } t \rightarrow +\infty.$$

Hence, there exists  $t_0 > 0$  such that

$$k_\lambda(t_0) = \min [k_\lambda(t) : t > 0],$$

which implies that  $k'_\lambda(t_0) = 0$ . Therefore,

$$2\lambda(p - 1)t_0^{-p} = (r - p)(\lambda + 1)t_0^{r-p-1}.$$

From this we deduce that

$$t_0 = t_0(\lambda) = \left[ \frac{2\lambda(p - 1)}{(r - p)(\lambda + 1)} \right]^{\frac{1}{r-1}}.$$

We have

$$k_\lambda(t_0) = 2\lambda \frac{(r - p)(\lambda + 1)^{\frac{p-1}{r-1}}}{(2\lambda(p - 1))^{\frac{p-1}{r-1}}} + (\lambda + 1) \frac{(2\lambda(p - 1))^{\frac{r-p}{r-1}}}{((r - p)(\lambda + 1))^{\frac{r-p}{r-1}}}.$$

Since  $1 < p < r$  we see that

$$k_\lambda(t_0) \rightarrow 0 \quad \text{as } \lambda \rightarrow 0^+.$$

Therefore, we can find  $\hat{\lambda} > 0$  such that

$$k_\lambda(t_0) < \frac{1}{pc_{18}} \quad \text{for all } \lambda \in (0, \hat{\lambda}).$$

Then, by (3.24) we see that

$$\psi_\lambda(u) > 0 = \psi_\lambda(0) \quad \text{for all } \|u\| = t_0(\lambda) = \rho_\lambda \text{ and for all } \lambda \in (0, \hat{\lambda}).$$

From hypothesis  $H(f)$ (ii) we see that for every  $u \in \text{int}(C_0^1(\overline{\Omega})_+)$  we have

$$\psi_\lambda(tu) \rightarrow -\infty \quad \text{as } t \rightarrow +\infty. \tag{3.25}$$

**Proposition 3.3** *If hypotheses  $H(a)$  and  $H(f)$  hold and if  $\lambda \in (0, \hat{\lambda})$ , then problem  $(P_\lambda')$  admits a solution  $\bar{u}_\lambda \in \text{int}(C_0^1(\overline{\Omega})_+)$ .*

**Proof** Propositions 3.1, 3.2 and (3.25) permit the use of the mountain pass theorem. So, we can find  $\bar{u}_\lambda \in W_0^{1,p}(\Omega)$  such that

$$\bar{u}_\lambda \in K_{\psi_\lambda} \quad \text{and} \quad \psi_\lambda(0) = 0 < m_\lambda \leq \psi_\lambda(\bar{u}_\lambda). \tag{3.26}$$

From (3.26) we see that  $\bar{u}_\lambda \neq 0$  and  $\psi'_\lambda(\bar{u}_\lambda) = 0$ , that is,

$$\begin{aligned} & \langle A_p(\bar{u}_\lambda), h \rangle + \langle A_q(\bar{u}_\lambda), h \rangle \\ &= \lambda \int_\Omega \tilde{u}_\lambda^{-\eta} h \, dx + \lambda \int_\Omega a(x) (\bar{u}_\lambda^+)^{\tau-1} h \, dx + \int_\Omega f(x, \bar{u}_\lambda^+) h \, dx \end{aligned} \tag{3.27}$$

for all  $h \in W_0^{1,p}(\Omega)$ . We choose  $h = -\bar{u}_\lambda^- \in W_0^{1,p}(\Omega)$  in (3.27) which shows that

$$\|\bar{u}_\lambda^-\|^p \leq 0.$$

Thus,  $\bar{u}_\lambda \geq 0$  with  $\bar{u}_\lambda \neq 0$ .

From (3.27) we know that  $\bar{u}_\lambda$  is a positive solution of  $(P_\lambda')$  with  $\lambda \in (0, \hat{\lambda})$ . This means

$$-\Delta_p \bar{u}_\lambda - \Delta_q \bar{u}_\lambda = \lambda \tilde{u}_\lambda^{-\eta} + \lambda a(x) \bar{u}_\lambda^{\tau-1} + f(x, \bar{u}_\lambda) \quad \text{in } \Omega, \quad \bar{u}_\lambda|_{\partial\Omega} = 0.$$

As before, see the proof of Proposition 2.3, using the nonlinear regularity theory, we have  $\bar{u}_\lambda \in C_0^1(\overline{\Omega})_+ \setminus \{0\}$ . The nonlinear maximum principle, see Pucci–Serrin [29, pp. 111, 120] implies that  $\bar{u}_\lambda \in \text{int}(C_0^1(\overline{\Omega})_+)$ .

**Proposition 3.4** *If hypotheses  $H(a)$  and  $H(f)$  hold and if  $\lambda \in (0, \hat{\lambda})$ , then  $\tilde{u}_\lambda \leq \bar{u}_\lambda$ .*

**Proof** We introduce the Carathéodory function  $g_\lambda : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  defined by

$$g_\lambda(x, s) = \begin{cases} \lambda a(x) (s^+)^{\tau-1} & \text{if } s \leq \bar{u}_\lambda(x), \\ \lambda a(x) \bar{u}_\lambda(x)^{\tau-1} & \text{if } \bar{u}_\lambda(x) < s. \end{cases} \tag{3.28}$$

We set  $G_\lambda(x, s) = \int_0^s g_\lambda(x, t) dt$  and consider the  $C^1$ -functional  $\sigma_\lambda : W_0^{1,p}(\Omega) \rightarrow \mathbb{R}$  defined by

$$\sigma_\lambda(u) = \frac{1}{p} \|\nabla u\|_p^p + \frac{1}{q} \|\nabla u\|_q^q - \int_\Omega G_\lambda(x, u) dx \quad \text{for all } u \in W_0^{1,p}(\Omega).$$

From (3.28) it is clear that  $\sigma_\lambda : W_0^{1,p}(\Omega) \rightarrow \mathbb{R}$  is coercive. Moreover, by the Sobolev embedding, we have that  $\sigma_\lambda : W_0^{1,p}(\Omega) \rightarrow \mathbb{R}$  is sequentially weakly lower semicontinuous. Then, by the Weierstraß-Tonelli theorem, we can find  $\hat{u}_\lambda \in W_0^{1,p}(\Omega)$  such that

$$\sigma_\lambda(\hat{u}_\lambda) = \min \left[ \sigma_\lambda(u) : u \in W_0^{1,p}(\Omega) \right]. \tag{3.29}$$

Since  $\tau < q < p$ , we have  $\sigma_\lambda(\hat{u}_\lambda) < 0 = \sigma_\lambda(0)$  which implies  $\hat{u}_\lambda \neq 0$ .

From (3.29) we have  $\sigma'_\lambda(\hat{u}_\lambda) = 0$ , that is,

$$\langle A_p(\hat{u}_\lambda), h \rangle + \langle A_q(\hat{u}_\lambda), h \rangle = \int_\Omega g_\lambda(x, \hat{u}_\lambda) h dx \quad \text{for all } h \in W_0^{1,p}(\Omega). \tag{3.30}$$

First, we choose  $h = -\hat{u}_\lambda^- \in W_0^{1,p}(\Omega)$  in (3.30). Then, by the definition of the truncation in (3.28) we easily see that  $\|\hat{u}_\lambda^-\|_p^p \leq 0$  and so,  $\hat{u}_\lambda \geq 0$  with  $\hat{u}_\lambda \neq 0$ .

Next, we choose  $h = (\hat{u}_\lambda - \bar{u}_\lambda)^+ \in W_0^{1,p}(\Omega)$  in (3.30) which gives, due to (3.28) and  $f \geq 0$ ,

$$\begin{aligned} & \langle A_p(\hat{u}_\lambda), (\hat{u}_\lambda - \bar{u}_\lambda)^+ \rangle + \langle A_q(\hat{u}_\lambda), (\hat{u}_\lambda - \bar{u}_\lambda)^+ \rangle \\ &= \int_\Omega \lambda a(x) \bar{u}_\lambda^{\tau-1} (\hat{u}_\lambda - \bar{u}_\lambda)^+ dx \\ &\leq \int_\Omega \left[ \lambda \tilde{u}_\lambda^{-\eta} + \lambda a(x) \bar{u}_\lambda^{\tau-1} + f(x, \bar{u}_\lambda) \right] (\hat{u}_\lambda - \bar{u}_\lambda)^+ dx \\ &= \langle A_p(\bar{u}_\lambda), (\hat{u}_\lambda - \bar{u}_\lambda)^+ \rangle + \langle A_q(\bar{u}_\lambda), (\hat{u}_\lambda - \bar{u}_\lambda)^+ \rangle. \end{aligned}$$

This shows that  $\hat{u}_\lambda \leq \bar{u}_\lambda$ . We have proved that

$$\hat{u}_\lambda \in [0, \bar{u}_\lambda], \hat{u}_\lambda \neq 0.$$

Hence,  $\hat{u}_\lambda$  is a positive solution of  $(Q_\lambda)$  and due to Proposition 2.3 we know that  $\hat{u}_\lambda = \tilde{u}_\lambda \in \text{int}(C_0^1(\bar{\Omega})_+)$ . Therefore,  $\tilde{u}_\lambda \leq \bar{u}_\lambda$  for all  $\lambda \in (0, \hat{\lambda})$ . □



Now we are able to establish the nonemptiness of the set  $\mathcal{L}$  (being the set of all admissible parameters) determine the regularity of the elements in the solution set  $\mathcal{S}_\lambda$ .

**Proposition 3.5** *If hypotheses  $H(a)$  and  $H(f)$  hold, then  $\mathcal{L} \neq \emptyset$  and, for every  $\lambda > 0$ ,  $\mathcal{S}_\lambda \subseteq \text{int}(C^1_0(\bar{\Omega})_+)$ .*

**Proof** Let  $\lambda \in (0, \hat{\lambda})$ . From Proposition 3.4 we know that  $\tilde{u}_\lambda \leq \bar{u}_\lambda$ . So we can define the truncation  $e_\lambda : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  of the reaction of problem  $(P_\lambda)$

$$\begin{aligned}
 & e_\lambda(x, s) \\
 &= \begin{cases} \lambda [\tilde{u}_\lambda(x)^{-\eta} + a(x)\tilde{u}_\lambda(x)^{\tau-1}] + f(x, \tilde{u}_\lambda(x)) & \text{if } s < \tilde{u}_\lambda(x), \\ \lambda [s^{-\eta} + a(x)s^{\tau-1}] + f(x, s) & \text{if } \tilde{u}_\lambda(x) \leq s \leq \bar{u}_\lambda(x), \\ \lambda [\bar{u}_\lambda(x)^{-\eta} + a(x)\bar{u}_\lambda(x)^{\tau-1}] + f(x, \bar{u}_\lambda(x)) & \text{if } \bar{u}_\lambda(x) < s. \end{cases} \quad (3.31)
 \end{aligned}$$

This is a Carathéodory function. We set  $E_\lambda(x, s) = \int_0^s e_\lambda(x, t) dt$  and consider the  $C^1$ -functional  $J_\lambda : W_0^{1,p}(\Omega) \rightarrow \mathbb{R}$  defined by

$$J_\lambda(u) = \frac{1}{p} \|\nabla u\|_p^p + \frac{1}{q} \|\nabla u\|_q^q - \int_\Omega E_\lambda(x, u) dx \quad \text{for all } u \in W_0^{1,p}(\Omega).$$

From (3.31) we see that  $J_\lambda : W_0^{1,p}(\Omega) \rightarrow \mathbb{R}$  is coercive and the Sobolev embedding theorem implies that  $J$  is also sequentially weakly lower semicontinuous. Hence, its global minimizer  $u_\lambda \in W_0^{1,p}(\Omega)$  exists, that is,

$$J_\lambda(u_\lambda) = \min [J_\lambda(u) : u \in W_0^{1,p}(\Omega)].$$

Hence,  $J'_\lambda(u_\lambda) = 0$  which means that

$$\langle A_p(u_\lambda), h \rangle + \langle A_q(u_\lambda), h \rangle = \int_\Omega e_\lambda(x, u_\lambda) h dx \quad \text{for all } h \in W_0^{1,p}(\Omega). \quad (3.32)$$

We choose  $h = (u_\lambda - \bar{u}_\lambda)^+ \in W_0^{1,p}(\Omega)$  in (3.32). Then, by using (3.31) and Propositions 3.4 and 3.3 we obtain

$$\begin{aligned}
 & \langle A_p(u_\lambda), (u_\lambda - \bar{u}_\lambda)^+ \rangle + \langle A_q(u_\lambda), (u_\lambda - \bar{u}_\lambda)^+ \rangle \\
 &= \int_\Omega \left( \lambda [\bar{u}_\lambda^{-\eta} + a(x)\bar{u}_\lambda^{\tau-1}] + f(x, \bar{u}_\lambda) \right) (u_\lambda - \bar{u}_\lambda)^+ dx \\
 &\leq \int_\Omega \left( \lambda [\tilde{u}_\lambda^{-\eta} + a(x)\bar{u}_\lambda^{\tau-1}] + f(x, \bar{u}_\lambda) \right) (u_\lambda - \bar{u}_\lambda)^+ dx \\
 &= \langle A_p(\bar{u}_\lambda), (u_\lambda - \bar{u}_\lambda)^+ \rangle + \langle A_q(\bar{u}_\lambda), (u_\lambda - \bar{u}_\lambda)^+ \rangle.
 \end{aligned}$$

This shows that  $u_\lambda \leq \bar{u}_\lambda$ .

Next, we choose  $h = (\tilde{u}_\lambda - u_\lambda)^+ \in W_0^{1,p}(\Omega)$  in (3.32). Then, by (3.31) and hypotheses H(a) as well as H(f)(i) it follows

$$\begin{aligned} & \langle A_p(u_\lambda), (\tilde{u}_\lambda - u_\lambda)^+ \rangle + \langle A_q(u_\lambda), (\tilde{u}_\lambda - u_\lambda)^+ \rangle \\ &= \int_\Omega \left( \lambda \left[ \tilde{u}^{-\eta} + a(x)\tilde{u}_\lambda^{\tau-1} \right] + f(x, \tilde{u}_\lambda) \right) (\tilde{u}_\lambda - u_\lambda)^+ dx \\ &\geq \int_\Omega \lambda \tilde{u}_\lambda^{-\eta} (\tilde{u}_\lambda - u_\lambda)^+ dx \\ &= \langle A_p(\tilde{u}_\lambda), (\tilde{u}_\lambda - u_\lambda)^+ \rangle + \langle A_q(\tilde{u}_\lambda), (\tilde{u}_\lambda - u_\lambda)^+ \rangle. \end{aligned}$$

Hence,  $\tilde{u}_\lambda \leq u_\lambda$  and so we have proved that  $u_\lambda \in [\tilde{u}_\lambda, \bar{u}_\lambda]$ . Then, with view to (3.31) and (3.32), we see that  $u_\lambda$  is a positive solution of  $(P_\lambda)$  for  $\lambda \in (0, \hat{\lambda})$ . In particular, we have

$$-\Delta_p u_\lambda(x) - \Delta_q u_\lambda(x) = \lambda u_\lambda(x)^{-\eta} + a_\lambda(x) u_\lambda(x)^{\tau-1} + f(x, u_\lambda(x)) \quad \text{for a.a. } x \in \Omega.$$

The nonlinear regularity theory, see Lieberman [15], and the nonlinear maximum principle, see Pucci–Serrin [29, pp. 111 and 120] imply that  $u_\lambda \in \text{int}(C_0^1(\bar{\Omega})_+)$ .

Concluding we can say that  $(0, \hat{\lambda}) \subseteq \mathcal{L}$  which means that  $\mathcal{L}$  is nonempty. Moreover, for all  $\lambda > 0$ ,  $\mathcal{S}_\lambda \subseteq \text{int}(C_0^1(\bar{\Omega})_+)$ . □

Reasoning as in the proof of Proposition 3.4 with  $\bar{u}_\lambda$  replaced by  $u \in \mathcal{S}_\lambda \subseteq \text{int}(C_0^1(\bar{\Omega})_+)$ , we obtain the following result.

**Proposition 3.6** *If hypotheses H(a) and H(f) hold and if  $\lambda \in \mathcal{L}$ , then  $\tilde{u}_\lambda \leq u$  for all  $u \in \mathcal{S}_\lambda$ .*

Moreover, the map  $\lambda \rightarrow \tilde{u}_\lambda$  from  $(0, +\infty)$  into  $C_0^1(\bar{\Omega})$  exhibits a strong monotonicity property which we will use in the sequel.

**Proposition 3.7** *If hypotheses H(a) holds and if  $0 < \lambda < \lambda'$ , then  $\tilde{u}_{\lambda'} - \tilde{u}_\lambda \in \text{int}(C_0^1(\bar{\Omega})_+)$ .*

**Proof** Following the proof of Proposition 3.4 we can show that

$$\tilde{u}_\lambda \leq \tilde{u}_{\lambda'}. \tag{3.33}$$

From (3.33) we have

$$\begin{aligned} -\Delta_p \tilde{u}_\lambda - \Delta_q \tilde{u}_\lambda &= \lambda a(x) \tilde{u}_\lambda^{\tau-1} \\ &= \lambda' a(x) \tilde{u}_\lambda^{\tau-1} - (\lambda' - \lambda) \tilde{u}_\lambda^{\tau-1} \\ &\leq \lambda' a(x) \tilde{u}_{\lambda'}^{\tau-1} \\ &= -\Delta_p \tilde{u}_{\lambda'} - \Delta_q \tilde{u}_{\lambda'}. \end{aligned} \tag{3.34}$$

Note that  $0 < (\lambda' - \lambda) \tilde{u}_\lambda^{\tau-1}$ . So, from (3.34) and Gasiński–Papageorgiou [9, Proposition 3.2], we have

$$\tilde{u}_{\lambda'} - \tilde{u}_\lambda \in \text{int} \left( C_0^1(\overline{\Omega})_+ \right).$$

□

Next we are going to show that  $\mathcal{L}$  is an interval.

**Proposition 3.8** *If hypotheses  $H(a)$  and  $H(f)$  hold and if  $\lambda \in \mathcal{L}$  and  $\mu \in (0, \lambda)$ , then  $\mu \in \mathcal{L}$ .*

**Proof** Since  $\lambda \in \mathcal{L}$  there exists  $u_\lambda \in \mathcal{S}_\lambda \subseteq \text{int} \left( C_0^1(\overline{\Omega})_+ \right)$ , see Proposition 3.5. From Propositions 3.4 and 3.7 we have

$$\tilde{u}_\mu \leq u_\lambda.$$

We introduce the truncation function  $\hat{k}_\mu : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  defined by

$$\hat{k}_\mu(x, s) = \begin{cases} \mu [\tilde{u}_\mu(x)^{-\eta} + a(x)u_\mu(x)^{\tau-1}] + f(x, u_\mu(x)) & \text{if } s < \tilde{u}_\mu(x), \\ \mu [s^{-\eta} + a(x)s^{\tau-1}] + f(x, s) & \text{if } \tilde{u}_\mu(x) \leq s \leq u_\lambda(x), \\ \mu [u_\lambda(x)^{-\eta} + a(x)u_\lambda(x)^{\tau-1}] + f(x, u_\lambda(x)) & \text{if } u_\lambda(x) < s, \end{cases} \tag{3.35}$$

which is a Carathéodory function. We set  $\hat{K}_\mu(x, s) = \int_0^s \hat{k}_\mu(x, t) dt$  and consider the  $C^1$ -functional  $\hat{\sigma}_\mu : W_0^{1,p}(\Omega) \rightarrow \mathbb{R}$  defined by

$$\hat{\sigma}_\mu(u) = \frac{1}{p} \|\nabla u\|_p^p + \frac{1}{q} \|\nabla u\|_q^q - \int_\Omega \hat{K}_\mu(x, u) dx \quad \text{for all } u \in W_0^{1,p}(\Omega).$$

This functional is coercive because of (3.35) and sequentially weakly lower semicontinuous due to the Sobolev embedding theorem. Hence, there exists  $u_\mu \in W_0^{1,p}(\Omega)$  such that

$$\hat{\sigma}_\mu(u_\mu) = \inf \left[ \hat{\sigma}_\mu(u) : W_0^{1,p}(\Omega) \right].$$

Therefore,  $\hat{\sigma}'_\mu(u_\mu) = 0$  and so

$$\langle A_p(u_\mu), h \rangle + \langle A_q(u_\mu), h \rangle = \int_\Omega \hat{k}_\mu(x, u_\mu) h dx \tag{3.36}$$

for all  $h \in W_0^{1,p}(\Omega)$ . We first choose  $h = (u_\mu - u_\lambda)^+ \in W_0^{1,p}(\Omega)$  in (3.36). Then, by (3.35),  $\mu < \lambda$  and since  $u_\lambda \in \mathcal{S}_\lambda$ , we obtain

$$\langle A_p(u_\mu), (u_\mu - u_\lambda)^+ \rangle + \langle A_q(u_\mu), (u_\mu - u_\lambda)^+ \rangle$$

$$\begin{aligned}
 &= \int_{\Omega} \left[ \mu \left( u_{\mu}^{-\eta} + a(x)u_{\lambda}^{\tau-1} \right) + f(x, u_{\lambda}) \right] (u_{\mu} - u_{\lambda})^+ dx \\
 &\leq \int_{\Omega} \left[ \lambda \left( u_{\lambda}^{-\eta} + a(x)u_{\lambda}^{\tau-1} \right) + f(x, u_{\lambda}) \right] (u_{\mu} - u_{\lambda})^+ dx \\
 &= \langle A_p(u_{\lambda}), (u_{\mu} - u_{\lambda})^+ \rangle + \langle A_q(u_{\lambda}), (u_{\mu} - u_{\lambda})^+ \rangle.
 \end{aligned}$$

Hence,  $u_{\mu} \leq v_{\lambda}$ . In the same way, choosing  $h = (\tilde{u}_{\mu} - u_{\mu})^+ \in W_0^{1,p}(\Omega)$ , we get from (3.35), hypotheses H(a), H(f)(i) and Proposition 2.3 that

$$\begin{aligned}
 &\langle A_p(u_{\mu}), (\tilde{u}_{\mu} - u_{\mu})^+ \rangle + \langle A_q(u_{\mu}), (\tilde{u}_{\mu} - u_{\mu})^+ \rangle \\
 &= \int_{\Omega} \left[ \mu \left( \tilde{u}_{\mu}^{-\eta} + a(x)\tilde{u}_{\mu}^{\tau-1} \right) + f(x, \tilde{u}_{\mu}) \right] (\tilde{u}_{\mu} - u_{\mu})^+ dx \\
 &\geq \int_{\Omega} \mu \tilde{u}_{\mu}^{-\eta} (\tilde{u}_{\mu} - u_{\mu})^+ dx \\
 &= \langle A_p(\tilde{u}_{\mu}), (\tilde{u}_{\mu} - u_{\mu})^+ \rangle + \langle A_q(\tilde{u}_{\mu}), (\tilde{u}_{\mu} - u_{\mu})^+ \rangle.
 \end{aligned}$$

Thus,  $\tilde{u}_{\mu} \leq u_{\mu}$ . We have proved that

$$u_{\mu} \in [\tilde{u}_{\mu}, u_{\lambda}]. \tag{3.37}$$

From (3.37), (3.35) and (3.36) it follows that

$$u_{\mu} \in \mathcal{S}_{\mu} \subseteq \text{int} \left( C_0^1(\overline{\Omega})_+ \right) \text{ and so } \mu \in \mathcal{L}.$$

□

Now we are going to prove that the solution multifunction  $\lambda \rightarrow \mathcal{S}_{\lambda}$  has a kind of weak monotonicity property.

**Proposition 3.9** *If hypotheses H(a) and H(f) hold and if  $\lambda \in \mathcal{L}, u_{\lambda} \in \mathcal{S}_{\lambda} \subseteq \text{int} \left( C_0^1(\overline{\Omega})_+ \right)$  and  $\mu \in (0, \lambda)$ , then  $\mu \in \mathcal{L}$  and there exists  $u_{\mu} \in \mathcal{S}_{\mu} \subseteq \text{int} \left( C_0^1(\overline{\Omega})_+ \right)$  such that*

$$u_{\lambda} - u_{\mu} \in \text{int} \left( C_0^1(\overline{\Omega})_+ \right).$$

**Proof** From Proposition 3.8 and its proof we know that  $\mu \in \mathcal{L}$  and that we can find  $u_{\mu} \in \mathcal{S}_{\mu} \subseteq \text{int} \left( C_0^1(\overline{\Omega})_+ \right)$  such that  $u_{\mu} \leq v_{\lambda}$ . Let  $\rho = \|u_{\lambda}\|_{\infty}$  and let  $\hat{\xi}_{\rho} > 0$  be as postulated by hypothesis H(f)(v). Using  $u_{\mu} \in \mathcal{S}_{\mu}$ , hypotheses H(a), H(f)(v) and recalling that  $\mu < \lambda$  we obtain

$$\begin{aligned}
 &-\Delta_p u_{\mu} - \Delta_q u_{\mu} + \hat{\xi}_{\rho} u_{\mu}^{p-1} - \mu u_{\mu}^{-\eta} \\
 &= \mu a(x)u_{\mu}^{\tau-1} + f(x, u_{\mu}) + \hat{\xi}_{\rho} u_{\mu}^{p-1} \\
 &= \lambda a(x)u_{\mu}^{\tau-1} + f(x, u_{\mu}) + \hat{\xi}_{\rho} u_{\mu}^{p-1} - (\lambda - \mu)a(x)u_{\mu}^{\tau-1}
 \end{aligned}$$

$$\begin{aligned} &\leq \lambda a(x)u_\lambda^{\tau-1} + f(x, u_\lambda) + \hat{\xi}_\rho u_\lambda^{p-1} \\ &\leq -\Delta_p u_\lambda - \Delta_q u_\lambda + \hat{\xi}_\rho u_\lambda^{p-1} - \mu u_\lambda^{-\eta}. \end{aligned} \tag{3.38}$$

We have

$$0 < (\lambda - \mu)a(x)u_\mu^{\tau-1}.$$

Therefore, from (3.38) and Papageorgiou–Smyrlis [18, Proposition 4], see also Proposition 7 in Papageorgiou–Rădulescu–Repovš [27, Proposition 3.2], we have

$$u_\lambda - u_\mu \in \text{int} \left( C_0^1(\overline{\Omega})_+ \right).$$

□

Let  $\lambda^* = \sup \mathcal{L}$ .

**Proposition 3.10** *If hypotheses  $H(a)$  and  $H(f)$  hold, then  $\lambda^* < \infty$ .*

**Proof** From hypotheses  $H(a)$  and  $H(f)$  we can find  $\tilde{\lambda} > 0$  such that

$$\tilde{\lambda}a(x)s^{\tau-1} + f(x, s) \geq s^{p-1} \quad \text{for a.a. } x \in \Omega \text{ and for all } s \geq 0. \tag{3.39}$$

Let  $\lambda > \tilde{\lambda}$  and suppose that  $\lambda \in \mathcal{L}$ . Then we can find  $u_\lambda \in \mathcal{S}_\lambda \subseteq \text{int} \left( C_0^1(\overline{\Omega})_+ \right)$ . Consider a domain  $\Omega_0 \subset\subset \Omega$ , that is,  $\Omega_0 \subseteq \Omega$  and  $\overline{\Omega}_0 \subseteq \Omega$ , with a  $C^2$ -boundary  $\partial\Omega_0$  and let  $m_0 = \min_{\overline{\Omega}_0} u_\lambda > 0$ . We set

$$m_0^\delta = m_0 + \delta \quad \text{with } \delta \in (0, 1].$$

Let  $\rho = \max\{\|u_\lambda\|_\infty, m_0^1\}$  and let  $\hat{\xi}_\rho > 0$  be as postulated by hypothesis  $H(f)(v)$ . Applying (3.39), hypothesis  $H(f)(v)$  and recalling that  $u_\lambda \in \mathcal{S}_\lambda$  as well as  $\tilde{\lambda} < \lambda$ , we obtain

$$\begin{aligned} &-\Delta_p m_0^\delta - \Delta_q m_0^\delta + \hat{\xi}_\rho (m_0^\delta)^{p-1} - \tilde{\lambda} (m_0^\delta)^{-\eta} \\ &\leq \hat{\xi}_\rho m_0^{p-1} + \chi(\delta) \quad \text{with } \chi(\delta) \rightarrow 0^+ \text{ as } \delta \rightarrow 0^+ \\ &\leq \left[ \hat{\xi}_\rho + 1 \right] m_0^{p-1} + \chi(\delta) \\ &\leq \tilde{\lambda}a(x)m_0^{\tau-1} + f(x, u_0) + \hat{\xi}_\rho m_0^{p-1} + \chi(\delta) \\ &= \lambda a(x)m_0^{\tau-1} + f(x, m_0) + \hat{\xi}_\rho m_0^{p-1} - \left( \lambda - \tilde{\lambda} \right) m_0^{\tau-1} + \chi(\delta) \\ &\leq \lambda a(x)m_0^{\tau-1} + f(x, m_0) + \hat{\xi}_\rho m_0^{p-1} \quad \text{for } \delta \in (0, 1] \text{ small enough} \\ &\leq \lambda a(x)u_\lambda^{\tau-1} + f(x, u_\lambda) + \hat{\xi}_\rho u_\lambda^{p-1} \\ &= -\Delta_p u_\lambda - \Delta_q u_\lambda + \hat{\xi}_\rho u_\lambda^{p-1} - \lambda u_\lambda^{-\eta} \\ &\leq -\Delta_p u_\lambda - \Delta_q u_\lambda + \hat{\xi}_\rho u_\lambda^{p-1} - \tilde{\lambda} u_\lambda^{-\eta} \quad \text{for a. a. } x \in \Omega_0. \end{aligned} \tag{3.40}$$

From (3.40) and Papageorgiou–Rădulescu–Repovš [27, Proposition 6] we know that

$$u_\lambda - m_0^\delta \in D_+ \quad \text{for } \delta \in (0, 1] \text{ small enough,}$$

a contradiction. Therefore,  $\lambda^* \leq \tilde{\lambda} < \infty$ . □

**Proposition 3.11** *If hypotheses  $H(a)$  and  $H(f)$  hold and if  $\lambda \in (0, \lambda^*)$ , then problem  $(P_\lambda)$  has at least two positive solutions*

$$u_0, \hat{u} \in \text{int} \left( C_0^1(\overline{\Omega})_+ \right) \quad \text{with } u_0 \leq \hat{u} \text{ and } u_0 \neq \hat{u}.$$

**Proof** Let  $\vartheta \in (\lambda, \lambda^*)$ . According to Proposition 3.9 we can find  $u_\vartheta \in \mathcal{S}_\vartheta \subseteq \text{int} \left( C_0^1(\overline{\Omega})_+ \right)$  and  $u_0 \in \mathcal{S}_\lambda \subseteq \text{int} \left( C_0^1(\overline{\Omega})_+ \right)$  such that

$$u_\vartheta - u_0 \in \text{int} \left( C_0^1(\overline{\Omega})_+ \right).$$

Recall that  $\tilde{u}_\lambda \leq u_0$ , see Proposition 3.4. Hence  $u_0^{-\eta} \in L^s(\Omega)$  for all  $s > N$ , see (3.1).

We introduce the Carathéodory function  $i_\lambda : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  defined by

$$i_\lambda(x, s) = \begin{cases} \lambda [u_0(x)^{-\eta} + a(x)u_0(x)^{\tau-1}] + f(x, u_0(x)) & \text{if } s \leq u_0(x), \\ \lambda [s^{-\eta} + a(x)s^{\tau-1}] + f(x, s) & \text{if } u_0(x) < s. \end{cases} \quad (3.41)$$

We set  $I_\lambda(x, s) = \int_0^s i_\lambda(x, t) dt$  and consider the  $C^1$ -functional  $w_\lambda : W_0^{1,p}(\Omega) \rightarrow \mathbb{R}$  defined by

$$w_\lambda(u) = \frac{1}{p} \|\nabla u\|_p^p + \frac{1}{q} \|\nabla u\|_q^q - \int_\Omega I_\lambda(x, u) dx \quad \text{for all } u \in W_0^{1,p}(\Omega).$$

Using (3.41) and the nonlinear regularity theory along with the nonlinear maximum principle we can easily check that

$$K_{w_\lambda} \subseteq [u_0] \cap \text{int} \left( C_0^1(\overline{\Omega})_+ \right). \quad (3.42)$$

Then, from (3.41) and (3.42) it follows that, without any loss of generality, we may assume

$$K_{w_\lambda} \cap [u_0, u_\vartheta] = \{u_0\}. \quad (3.43)$$

Otherwise, on account of (3.41) and (3.42), we see that we already have a second positive smooth solution of  $(P_\lambda)$  distinct and larger than  $u_0$ .

We introduce the following truncation of  $i_\lambda(x, \cdot)$ , namely,  $\hat{i}_\lambda : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  defined by

$$\hat{i}_\lambda(x, s) = \begin{cases} i_\lambda(x, s) & \text{if } s \leq u_\vartheta(x), \\ i_\lambda(x, u_\vartheta(x)) & \text{if } u_\vartheta(x) < s, \end{cases} \quad (3.44)$$

which is a Carathéodory function. We set  $\hat{I}_\lambda(x, s) = \int_0^s \hat{i}_\lambda(x, t) dt$  and consider the  $C^1$ -functional  $\hat{w}_\lambda : W_0^{1,p}(\Omega) \rightarrow \mathbb{R}$  defined by

$$\hat{w}_\lambda(u) = \frac{1}{p} \|\nabla u\|_p^p + \frac{1}{q} \|\nabla u\|_q^q - \int_\Omega \hat{I}_\lambda(x, u) dx \quad \text{for all } u \in W_0^{1,p}(\Omega).$$

From (3.41) and (3.44) it is clear that  $\hat{w}_\lambda$  is coercive and due to the Sobolev embedding theorem we know that  $\hat{w}_\lambda$  is also sequentially weakly lower semicontinuous. Hence, we find  $\hat{u}_0 \in W_0^{1,p}(\Omega)$  such that

$$\hat{w}_\lambda(\hat{u}_0) = \min \left[ \hat{w}_\lambda(u) : u \in W_0^{1,p}(\Omega) \right]. \tag{3.45}$$

It is easy to see, using (3.44), that

$$K_{\hat{w}_\lambda} \subseteq [u_0, u_\vartheta] \cap \text{int} \left( C_0^1(\overline{\Omega})_+ \right) \tag{3.46}$$

and

$$\hat{w}_\lambda|_{[0, u_\vartheta]} = w_\lambda|_{[0, u_\vartheta]}, \quad \hat{w}'_\lambda|_{[0, u_\vartheta]} = w'_\lambda|_{[0, u_\vartheta]}. \tag{3.47}$$

From (3.45) we have  $\hat{u}_0 \in K_{\hat{w}'_\lambda}$  which by (3.43), (3.46) and (3.47) implies that  $\hat{u}_0 = u_0$ .

Recall that  $u_\vartheta - u_0 \in \text{int} \left( C_0^1(\overline{\Omega})_+ \right)$ . So, on account of (3.47), we have that  $u_0$  is a local  $C_0^1(\overline{\Omega})$ -minimizer of  $w_\lambda$  and then  $u_0$  is also a local  $W_0^{1,p}(\Omega)$ -minimizer of  $w_\lambda$ , see, for example Gasiński–Papageorgiou [7].

We may assume that  $K_{w_\lambda}$  is finite, otherwise, we see from (3.42) that we already have an infinite number of positive smooth solutions of  $(P_\lambda)$  larger than  $u_0$  and so we are done. From Papageorgiou–Rădulescu–Repovš [24, Theorem 5.7.6, p. 449] we find  $\rho \in (0, 1)$  small enough such that

$$w_\lambda(u_0) < \inf [w_\lambda(u) : \|u - u_0\| = \rho] = m_\lambda. \tag{3.48}$$

If  $u \in \text{int} \left( C_0^1(\overline{\Omega})_+ \right)$ , then by hypothesis H(f)(ii) we have

$$w_\lambda(tu) \rightarrow -\infty \quad \text{as } t \rightarrow +\infty. \tag{3.49}$$

Moreover, reasoning as in the proof of Proposition 3.1, we show that

$$w_\lambda \text{ satisfies the C-condition,} \tag{3.50}$$

see also (3.41). Then, (3.48), (3.49) and (3.50) permit the use of the mountain pass theorem. So we can find  $\hat{u} \in W_0^{1,p}(\Omega)$  such that

$$\hat{u} \in K_{w_\lambda} \subseteq [u_0] \cap \text{int} \left( C_0^1(\overline{\Omega})_+ \right), \quad m_\lambda \leq w_\lambda(\hat{u}). \tag{3.51}$$

From (3.51), (3.48) and (3.41) it follows that

$$\hat{u} \in \mathcal{S}_\lambda, \quad u_0 \leq \hat{u}, \quad u_0 \neq \hat{u}.$$

□

**Remark 3.12** If  $1 < q = 2 \leq \lambda < p$ , then, using the tangency principle of Pucci–Serrin [29, p. 35] we can say that  $\hat{u} - u_0 \in \text{int}(C_0^1(\overline{\Omega})_+)$ .

**Proposition 3.13** *If hypotheses  $H(a)$  and  $H(f)$  hold, then  $\lambda^* \in \mathcal{L}$ .*

**Proof** Let  $\lambda_n \nearrow \lambda^*$ . With  $\hat{u}_{n+1} \in \mathcal{S}_{\lambda_{n+1}} \subseteq \text{int}(C_0^1(\overline{\Omega})_+)$  we introduce the following Carathéodory function (recall that  $\tilde{u}_{\lambda_1} \leq \tilde{u}_{\lambda_n} \leq u$  for all  $u \in \mathcal{S}_{\lambda_n}$  and for all  $n \in \mathbb{N}$ , see Propositions 3.4 and 3.7)

$$\tilde{t}_n(x, s) = \begin{cases} \lambda_n [\tilde{u}_{\lambda_1}(x)^{-\eta} + a(x)\tilde{u}_{\lambda_1}(x)^{\tau-1}] + f(x, \tilde{u}_{\lambda_1}(x)) & \text{if } s < \tilde{u}_{\lambda_1}(x) \\ \lambda_n [s^{-\eta} + a(x)s^{\tau-1}] + f(x, s) & \text{if } \tilde{u}_{\lambda_1}(x) \leq s \leq \hat{u}_{n+1}(x) \\ \lambda_n [\hat{u}_{n+1}(x)^{-\eta} + a(x)\hat{u}_{n+1}(x)^{\tau-1}] + f(x, \hat{u}_{n+1}(x)) & \text{if } \hat{u}_{n+1}(x) < s. \end{cases}$$

Let  $\tilde{T}_n(x, s) = \int_0^s \tilde{t}_n(x, t) dt$  and consider the  $C^1$ -functional  $\tilde{I}_n: W_0^{1,p}(\Omega) \rightarrow \mathbb{R}$  defined by

$$\tilde{I}_n(u) = \frac{1}{p} \|\nabla u\|_p^p + \frac{1}{q} \|\nabla u\|_q^q - \int_\Omega \tilde{T}_n(x, u) dx \quad \text{for all } u \in W_0^{1,p}(\Omega).$$

Applying the direct method of the calculus of variations, see the definition of the truncation  $\tilde{t}_n: \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ , we can find  $u_n \in W_0^{1,p}(\Omega)$  such that

$$\tilde{I}_n(u_n) = \min [\tilde{I}_n(u) : u \in W_0^{1,p}(\Omega)].$$

Hence,  $\tilde{I}'_n(u_n) = 0$  and so  $u_n \in [\tilde{u}_{\lambda_1}, \hat{u}_{n+1}] \cap \text{int}(C_0^1(\overline{\Omega})_+)$ , see the definition of  $\tilde{t}_n$ . Moreover,  $u_n \in \mathcal{S}_{\lambda_n} \subseteq \text{int}(C_0^1(\overline{\Omega})_+)$ . From Proposition 2.3 we know that

$$\tilde{I}_n(u_n) \leq \tilde{I}_n(\tilde{u}_{\lambda_1}) < 0.$$

Now we introduce the truncation function  $\hat{t}_n: \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  defined by

$$\hat{t}_n(x, s) = \begin{cases} \lambda_n [\tilde{u}_{\lambda_1}(x)^{-\eta} + a(x)\tilde{u}_{\lambda_1}(x)^{\tau-1}] + f(x, \tilde{u}_{\lambda_1}(x)) & \text{if } s \leq \tilde{u}_{\lambda_1}(x), \\ \lambda_n [s^{-\eta} + a(x)s^{\tau-1}] + f(x, s) & \text{if } \tilde{u}_{\lambda_1}(x) < s. \end{cases} \tag{3.52}$$



We set  $\hat{T}_n(x, s) = \int_0^s \hat{t}_n(x, t) dt$  and consider the  $C^1$ -functional  $\hat{I}_n: W_0^{1,p}(\Omega) \rightarrow \mathbb{R}$  defined by

$$\hat{I}_n(u) = \frac{1}{p} \|\nabla u\|_p^p + \frac{1}{q} \|\nabla u\|_q^q - \int_{\Omega} \hat{T}_n(x, u) dx \quad \text{for all } u \in W_0^{1,p}(\Omega).$$

It is clear from the definition of the truncation  $\tilde{t}_n: \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  and (3.52) that

$$\hat{I}_n|_{[0, \hat{u}_{n+1}]} = \tilde{I}_n|_{[0, \hat{u}_{n+1}]} \quad \text{and} \quad \hat{I}'_n|_{[0, \hat{u}_{n+1}]} = \tilde{I}'_n|_{[0, \hat{u}_{n+1}]}.$$

Then from the first part of the proof, we see that we can find a sequence  $u_n \in \mathcal{S}_{\lambda_n} \subseteq \text{int}(C_0^1(\bar{\Omega})_+)$ ,  $n \in \mathbb{N}$ , such that

$$\hat{I}_n(u_n) < 0 \quad \text{for all } n \in \mathbb{N}. \tag{3.53}$$

Moreover we have

$$\langle \hat{I}'_n(u_n), h \rangle = 0 \quad \text{for all } h \in W_0^{1,p}(\Omega) \text{ and for all } n \in \mathbb{N}. \tag{3.54}$$

From (3.53) and (3.54), reasoning as in the proof of Proposition 3.1, we show that

$$\{u_n\}_{n \geq 1} \subseteq W_0^{1,p}(\Omega) \text{ is bounded.}$$

So we may assume that

$$u_n \xrightarrow{w} u^* \text{ in } W_0^{1,p}(\Omega) \quad \text{and} \quad u_n \rightarrow u^* \text{ in } L^r(\Omega).$$

As before, see the proof of Proposition 3.1, using Proposition 2.1 we show that

$$u_n \rightarrow u^* \text{ in } W_0^{1,p}(\Omega).$$

Then  $u^* \in \mathcal{S}_{\lambda^*} \subseteq \text{int}(C_0^1(\bar{\Omega})_+)$ , recall that  $\tilde{u}_{\lambda_1} \leq u_n$  for all  $n \in \mathbb{N}$ . This shows that  $\lambda^* \in \mathcal{L}$ . □

According to Proposition 3.13 we have

$$\mathcal{L} = (0, \lambda^*].$$

The set  $\mathcal{S}_{\lambda}$  is downward directed, see Papageorgiou–Rădulescu–Repovš [27, Proposition 18] that is, if  $u, \hat{u} \in \mathcal{S}_{\lambda}$ , we can find  $\tilde{u} \in \mathcal{S}_{\lambda}$  such that  $\tilde{u} \leq u$  and  $\tilde{u} \leq \hat{u}$ . Using this fact we can show that, for every  $\lambda \in \mathcal{L}$ , problem  $(P_{\lambda})$  has a smallest positive solution.

**Proposition 3.14** *If hypotheses  $H(a)$  and  $H(f)$  hold and if  $\lambda \in \mathcal{L} = (0, \lambda^*]$ , then problem  $(P_{\lambda})$  has a smallest positive solution  $u_{\lambda}^* \in \text{int}(C_0^1(\bar{\Omega})_+)$ .*

**Proof** Applying Lemma 3.10 of Hu–Papageorgiou [12, p. 178] we can find a decreasing sequence  $\{u_n\}_{n \geq 1} \subseteq \mathcal{S}_\lambda$  such that

$$\inf_{n \geq 1} u_n = \inf \mathcal{S}_\lambda.$$

It is clear that  $\{u_n\}_{n \geq 1} \subseteq W_0^{1,p}(\Omega)$  is bounded. Then, applying Proposition 2.1, we obtain

$$u_n \rightarrow u_\lambda^* \text{ in } W_0^{1,p}(\Omega).$$

Since  $\tilde{u}_\lambda \leq u_n$  for all  $n \in \mathbb{N}$  it holds  $u_\lambda^* \in \mathcal{S}_\lambda$  and  $u_\lambda^* = \inf \mathcal{S}_\lambda$ . □

We examine the map  $\lambda \rightarrow u_\lambda^*$  from  $\mathcal{L}$  into  $C_0^1(\overline{\Omega})$ .

**Proposition 3.15** *If hypotheses  $H(a)$  and  $H(f)$  hold, then the map  $\lambda \rightarrow u_\lambda^*$  from  $\mathcal{L}$  into  $C_0^1(\overline{\Omega})$  is*

- (a) *strictly increasing, that is,  $0 < \mu < \lambda \leq \lambda^*$  implies  $u_\lambda^* - u_\mu^* \in \text{int}(C_0^1(\overline{\Omega})_+)$ ;*
- (b) *left continuous.*

**Proof** (a) Let  $0 < \mu < \lambda \leq \lambda^*$  and let  $u_\lambda^* \in \text{int}(C_0^1(\overline{\Omega})_+)$  be the minimal positive solution of problem  $(P_\lambda)$ , see Proposition 3.14. According to Proposition 3.9 we can find  $u_\mu \in \mathcal{S}_\mu \subseteq \text{int}(C_0^1(\overline{\Omega})_+)$  such that  $u_\lambda^* - u_\mu^* \in \text{int}(C_0^1(\overline{\Omega})_+)$ . Since  $u_\mu^* \leq u_\mu$  we have  $u_\lambda^* - u_\mu^* \in \text{int}(C_0^1(\overline{\Omega})_+)$  and so, we have proved that  $\lambda \rightarrow u_\lambda^*$  is strictly increasing.

(b) Let  $\{\lambda_n\}_{n \geq 1} \subseteq \mathcal{L} = (0, \lambda^*]$  be such that  $\lambda_n \nearrow \lambda$  as  $n \rightarrow \infty$ . We have

$$\tilde{u}_{\lambda_1} \leq u_{\lambda_1}^* \leq u_{\lambda_n}^* \leq u_{\lambda^*}^* \text{ for all } n \in \mathbb{N}.$$

Thus,

$$\{u_{\lambda_n}^*\}_{n \geq 1} \subseteq W_0^{1,p}(\Omega) \text{ is bounded}$$

and so

$$\{u_{\lambda_n}^*\}_{n \geq 1} \subseteq L^\infty(\Omega) \text{ is bounded,}$$

see Guedda–Véron [10, Proposition 1.3]. Therefore, we can find  $\beta \in (0, 1)$  and  $c_{19} > 0$  such that

$$u_{\lambda_n}^* \in C_0^{1,\beta}(\overline{\Omega}) \text{ and } \|u_{\lambda_n}^*\|_{C_0^{1,\beta}(\overline{\Omega})} \leq c_{19} \text{ for all } n \in \mathbb{N},$$

see Lieberman [15]. The compact embedding of  $C_0^{1,\beta}(\overline{\Omega})$  into  $C_0^1(\overline{\Omega})$  and the monotonicity of  $\{u_{\lambda_n}^*\}_{n \geq 1}$ , see part (a), imply that

$$u_{\lambda_n}^* \rightarrow \hat{u}_\lambda^* \text{ in } C_0^1(\overline{\Omega}). \tag{3.55}$$

If  $\hat{u}_\lambda^* \neq u_\lambda^*$ , then there exists  $x_0 \in \Omega$  such that

$$u_\lambda^*(x_0) < \hat{u}_\lambda^*(x_0) \quad \text{for all } n \in \mathbb{N}.$$

From (3.55) we then conclude that

$$u_\lambda^*(x_0) < \hat{u}_{\lambda_n}^*(x_0) \quad \text{for all } n \in \mathbb{N},$$

which contradicts part (a). Therefore,  $\hat{u}_\lambda^* = u_\lambda^*$  and so we have proved the left continuity of  $\lambda \rightarrow u_\lambda^*$ .  $\square$

**Funding** Open Access funding provided by Projekt DEAL.

**Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit <http://creativecommons.org/licenses/by/4.0/>.

## References

1. Ambrosetti, A., Brezis, H., Cerami, G.: Combined effects of concave and convex nonlinearities in some elliptic problems. *J. Funct. Anal.* **122**(2), 519–543 (1994)
2. Benci, V., D'Avenia, P., Fortunato, D., Pisani, L.: Solitons in several space dimensions: Derrick's problem and infinitely many solutions. *Arch. Ration. Mech. Anal.* **154**(4), 297–324 (2000)
3. Cherfils, L., Il'yasov, Y.: On the stationary solutions of generalized reaction diffusion equations with  $p$ - $q$ -Laplacian. *Commun. Pure Appl. Anal.* **4**(1), 9–22 (2005)
4. Díaz, J.I., Saá, J.E.: Existence et unicité de solutions positives pour certaines équations elliptiques quasilinéaires. *C. R. Acad. Sci. Paris Sér. I Math.* **305**(12), 521–524 (1987)
5. García Azorero, J.P., Peral Alonso, I., Manfredi, J.: Sobolev versus Hölder local minimizers and global multiplicity for some quasilinear elliptic equations. *Commun. Contemp. Math.* **2**(3), 385–404 (2000)
6. Gasiński, L., Papageorgiou, N.S.: *Nonlinear Analysis*. Chapman & Hall, Boca Raton (2006)
7. Gasiński, L., Papageorgiou, N.S.: Multiple solutions for nonlinear coercive problems with a nonhomogeneous differential operator and a nonsmooth potential. *Set-Valued Var. Anal.* **20**(3), 417–443 (2012)
8. Gasiński, L., Papageorgiou, N.S.: *Exercises in Analysis. Part 2: Nonlinear Analysis*. Springer, Heidelberg (2016)
9. Gasiński, L., Papageorgiou, N.S.: Positive solutions for the Robin  $p$ -Laplacian problem with competing nonlinearities. *Adv. Calc. Var.* **12**(1), 31–56 (2019)
10. Guedda, M., Véron, L.: Quasilinear elliptic equations involving critical Sobolev exponents. *Nonlinear Anal.* **13**(8), 879–902 (1989)
11. Guo, Z., Zhang, Z.:  $W^{1,p}$  versus  $C^1$  local minimizers and multiplicity results for quasilinear elliptic equations. *J. Math. Anal. Appl.* **286**(1), 32–50 (2003)
12. Hu, S., Papageorgiou, N.S.: *Handbook of Multivalued Analysis, vol. I*. Kluwer Academic Publishers, Dordrecht (1997)
13. Lazer, A.C., McKenna, P.J.: On a singular nonlinear elliptic boundary-value problem. *Proc. Am. Math. Soc.* **111**(3), 721–730 (1991)
14. Leonardi, S., Papageorgiou, N.S.: Positive solutions for nonlinear Robin problems with indefinite potential and competing nonlinearities. *Positivity* **24**(2), 339–367 (2020)

15. Lieberman, G.M.: The natural generalization of the natural conditions of Ladyzhenskaya and Ural'tseva for elliptic equations. *Comm. Partial Differen. Equ.* **16**(2–3), 311–361 (1991)
16. Marano, S.A., Marino, G., Papageorgiou, N.S.: On a Dirichlet problem with  $(p, q)$ -Laplacian and parametric concave-convex nonlinearity. *J. Math. Anal. Appl.* **475**(2), 1093–1107 (2019)
17. Marino, G., Winkert, P.: Moser iteration applied to elliptic equations with critical growth on the boundary. *Nonlinear Anal.* **180**, 154–169 (2019)
18. Papageorgiou, N.S., Smyrlis, G.: A bifurcation-type theorem for singular nonlinear elliptic equations. *Methods Appl. Anal.* **22**(2), 147–170 (2015)
19. Papageorgiou, N.S., Winkert, P.: Positive solutions for nonlinear nonhomogeneous Dirichlet problems with concave-convex nonlinearities. *Positivity* **20**(4), 945–979 (2016)
20. Papageorgiou, N.S., Winkert, P.: *Applied Nonlinear Functional Analysis. An Introduction.* De Gruyter, Berlin (2018)
21. Papageorgiou, N.S., Winkert, P.: Singular  $p$ -Laplacian equations with superlinear perturbation. *J. Differ. Equ.* **266**(2–3), 1462–1487 (2019)
22. Papageorgiou, N.S., Zhang, Y.: Constant sign and nodal solutions for superlinear  $(p, q)$ -equations with indefinite potential and a concave boundary term. *Adv. Nonlinear Anal.* **10**(1), 76–101 (2021)
23. Papageorgiou, N.S., Rădulescu, V.D., Repovš, D.D.: Robin problems with indefinite linear part and competition phenomena. *Commun. Pure Appl. Anal.* **16**(4), 1293–1314 (2017)
24. Papageorgiou, N.S., Rădulescu, V.D., Repovš, D.D.: *Nonlinear Analysis: Theory and Methods.* Springer, Cham (2019)
25. Papageorgiou, N.S., Rădulescu, V.D., Repovš, D.D.: Positive solutions for a class of singular Dirichlet problems. *J. Differ. Equ.* **267**(11), 6539–6554 (2019)
26. Papageorgiou, N.S., Rădulescu, V.D., Repovš, D.D.: Positive solutions for nonlinear parametric singular Dirichlet problems. *Bull. Math. Sci.* **9**(3), 1950011–21 (2019)
27. Papageorgiou, N.S., Rădulescu, V.D., Repovš, D.D.: Nonlinear nonhomogeneous singular problems. *Calc. Var. Partial Differ. Equ.* **59**(1), Paper No.9 (2020)
28. Papageorgiou, N.S., Rădulescu, V.D., Repovš, D.D.: Positive solutions for nonlinear Neumann problems with singular terms and convection. *J. Math. Pures Appl.* **136**, 1–21 (2020)
29. Pucci, P., Serrin, J.: *The Maximum Principle.* Birkhäuser, Basel (2007)
30. Ragusa, M.A., Tachikawa, A.: Regularity for minimizers for functionals of double phase with variable exponents. *Adv. Nonlinear Anal.* **9**(1), 710–728 (2020)

**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.